

# Calculations and assignments of endohedral helium-3 chemical shifts of open-cage fullerenes and higher fullerenes

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**Abstract** The endohedral  $^3\text{He}$  NMR chemical shifts of open-cage fullerene compounds and higher fullerenes  $^3\text{He}@C_n$  ( $n = 82, 84, 86$ ) have been calculated at the GIAO-B3LYP/3-21G//AM1 level. The predicted  $^3\text{He}$  NMR chemical shifts of open-cage fullerene compounds agree well with the experimental data. More importantly, the challenging peak assignments in the two  $^3\text{He}$  NMR spectra of higher fullerenes have been successfully achieved by our computed endohedral  $^3\text{He}$  chemical shifts in combination with experimental results.

**Keywords** Endohedral fullerenes ·  $^3\text{He}$  NMR · Density functional theory · Semiempirical

## 1 Introduction

Helium-3 NMR spectroscopy is a powerful tool for the structural assignments of fullerenes and their derivatives [1]. Each  $^3\text{He}$ -encapsulated fullerene compound has a distinct  $^3\text{He}$  NMR peak, while a nonfullerene chemical has no  $^3\text{He}$  NMR peak. For higher fullerenes, an individual pure isomer was difficult to obtain due to its small amount in the arc-processed soot and the existence of other

co-eluted isomer(s) during separation. Therefore, only the mixture of higher fullerenes was labeled with helium-3, and then subject to the  $^3\text{He}$  NMR measurement. The  $^3\text{He}$  NMR spectrum of a purified  $^3\text{He}@C_{84}$  fraction containing  $^3\text{He}@C_{76}$ ,  $^3\text{He}@C_{78}$  and  $^3\text{He}@C_{82}$  was reported in 1995 [2]. A few years later, the  $^3\text{He}$  NMR spectrum of a mixture labeled with roughly 60% of  $C_{84}$  isomers, 40% of  $C_{76}$  and  $C_{78}$  isomers, and small amount of other fullerenes such as  $C_{82}$  and  $C_{86}$  was recorded [3]. However, no assignment for the isomers of  $^3\text{He}@C_{82}$ ,  $^3\text{He}@C_{84}$  and  $^3\text{He}@C_{86}$  except for the  $D_2$  isomer of  $^3\text{He}@C_{84}$  was made [2, 3].

At the same time, the calculation of  $^3\text{He}$  NMR chemical shifts has become of increasing interest and importance in order to understand and assign the experimentally obtained  $^3\text{He}$  NMR data. The  $^3\text{He}$  NMR chemical shifts for  $^3\text{He}@C_n$  and their derivatives were theoretically studied at Hartree–Fock (HF), density functional theory (DFT) and semiempirical (MNDO) levels with gauge including atomic orbitals (GIAO) [4–14]. However, the deviations between the calculated and experimental  $^3\text{He}$  NMR chemical shifts could be very large, e.g., for  $C_{60}$  and  $C_{70}$ , depending on the calculation levels and optimized molecular structures [5, 7, 9, 14]. Endohedral  $^3\text{He}$  NMR chemical shifts in higher fullerenes with 82–86 carbons were calculated at the levels of GIAO-SCF/tzp(He)/dz(C)//MNDO [7], GIAO-SCF/DZ//MNDO [9], GIAO-SCF/DZP//BP86/3-21G [9], GIAO-SCF/3-21G//B3LYP/6-31G\* [13], GIAO-MNDO//B3LYP/6-31G\* [14] and GIAO-MNDO//MNDO [14]. Unfortunately, these calculation data were not sufficient for quantitative prediction [13], and an unequivocal assignment of these close-lying peaks for  $C_{84}$  isomers on the basis of the computations was not possible [9, 13]. Consequently, few of the  $^3\text{He}$  NMR peaks for higher fullerenes above  $^3\text{He}@C_{82}$  have been assigned [9, 13], and the assignment for most of the  $^3\text{He}$  NMR peaks still remains as a challenging task.

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Recently, we [15] calculated the  $^3\text{He}$  NMR chemical shifts of  $^3\text{He}@C_n$  and their derivatives at the GIAO-B3LYP/3-21G and GIAO-HF/3-21G levels with AM1 and PM3 optimized structures. A good linear relationship between the computed and experimental  $^3\text{He}$  NMR chemical shifts had been found. The calculated corrected  $^3\text{He}$  NMR chemical shifts ( $\delta_{\text{corr}}$ ) matched the experimental data very well over a wide range of  $^3\text{He}$ -encapsulated fullerene compounds. The GIAO-B3LYP/3-21G//AM1 method was one of most economical protocols and was applied to the assignments/reassignments of  $C_{76}$ ,  $C_{78}$  isomers and bis-adducts of  $C_{60}$ . However, all of the examined  $^3\text{He}$ -encapsulated fullerene compounds were closed-cage, and higher fullerenes beyond  $C_{78}$  have not been investigated. In continuation of our interest in  $^3\text{He}$  NMR [15], in this paper we apply our developed GIAO-B3LYP/3-21G//AM1 method to open-cage fullerenes, and more importantly, we attempt to tackle the challenging assignments for the experimentally observed  $^3\text{He}$  NMR peaks of  $^3\text{He}@C_{82}$ ,  $^3\text{He}@C_{84}$  and  $^3\text{He}@C_{86}$  isomers by our calculation method in combination with the previous experimental results.

## 2 Computational methods

All geometries were optimized at the AM1 semiempirical level [16], and the endohedral  $^3\text{He}$  NMR chemical shifts were calculated at the GIAO (gauge including atomic orbitals [17])–B3LYP (Becke's [18] three-parameter hybrid-exchange functional and the correlation functional of Lee et al. [19]) level with the 3-21G basis set. The calculated endohedral  $^3\text{He}$  NMR chemical shifts ( $\delta_{\text{calc}}$ ) for the  $^3\text{He}$  located at the center of the fullerene cage at the GIAO-B3LYP/3-21G//AM1 level were given in ppm relative to the free  $^3\text{He}$  (59.66 ppm). It should be noted that the magnetic field within a sphere of less than 1 Å diameter at the center of  $C_{60}$  was found to be very homogeneous [5], the  $\delta_{\text{calc}}$  values were little affected for the displacement of  $^3\text{He}$  out of the center. The corrected  $^3\text{He}$  chemical shifts ( $\delta_{\text{corr}}$ ) were obtained from the correlation Eq. 1 [15]:

$$\delta_{\text{corr}} = -1.167 + 1.330\delta_{\text{calc}} \quad (1)$$

The correlation Eq. 1 was derived from the linear regression fitting of the calculated and experimental data for 27  $^3\text{He}$ -encapsulated fullerene compounds [15]. For the purpose of comparison, the  $\delta_{\text{calc}}$  data for the three open-cage fullerene compounds (**1–3**) by the GIAO-B3LYP/3-21G//B3LYP/6-31G\* method and then their  $\delta_{\text{corr}}$  values by Eq. 1 were also computed. All optimized structures were verified as being minima except for the  $C_s$   $^3\text{He}@C_{84}:10$  isomer by frequency calculations. All calculations were carried out with the help of GAUSSIAN 03 program package [20].

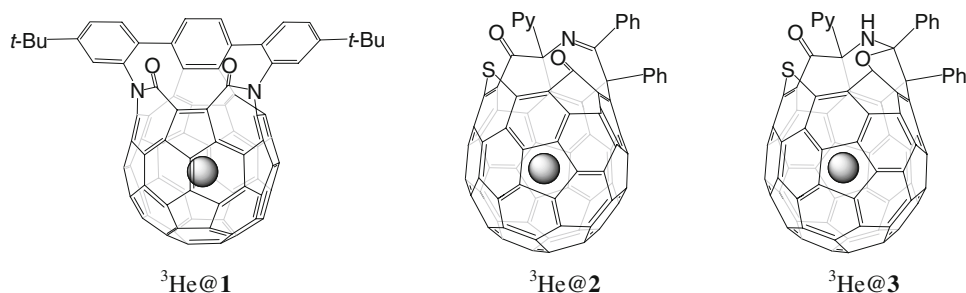
## 3 Results and discussion

### 3.1 Application to open-cage fullerene compounds

Previously, we had only included closed-cage fullerene compounds in the calculations of endohedral  $^3\text{He}$  NMR chemical shifts. We wondered if our calculation method could be extended to open-cage fullerene compounds. The  $^3\text{He}$  NMR chemical shifts of two  $^3\text{He}$ -encapsulated open-cage fullerene compounds, i.e., compounds  $^3\text{He}@1$  (Rubin's open-cage fullerene) [21] and  $^3\text{He}@2$  (Komatsu's open-cage fullerene) [22] (Fig. 1), have been reported in the literature.

The  $\delta_{\text{calc}}$ ,  $\delta_{\text{corr}}$  and experimental  $^3\text{He}$  NMR chemical shifts ( $\delta_{\text{exp}}$ ) of compounds  $^3\text{He}@1$  and  $^3\text{He}@2$  at the GIAO-B3LYP/3-21G//AM1 and GIAO-B3LYP/3-21G//B3LYP/6-31G\* levels are listed in Table 1. As seen from Table 1, the  $\delta_{\text{corr}}$  values of compounds  $^3\text{He}@1$  and  $^3\text{He}@2$  at both GIAO-B3LYP/3-21G//AM1 and GIAO-B3LYP/3-21G//B3LYP/6-31G\* levels agree well with their experimental data. Helium-4 rather than helium-3 was encapsulated in compound **3** (a modification of Komatsu's open-cage fullerene) [23], hence the endohedral  $^3\text{He}$  NMR chemical shift was not available. It was reported that the  $^1\text{H}$  NMR chemical shift of the encapsulated  $\text{H}_2$  in compound  $\text{H}_2@3$  (−7.79 ppm [23]) was upfield shifted relative to that in compound  $\text{H}_2@2$  (−7.25 ppm [24]). Interestingly, the same trend is observed for the calculated  $\delta_{\text{corr}}$  of compound

**Fig. 1** Structures of  $^3\text{He}$ -encapsulated open-cage fullerene compounds  $^3\text{He}@1$ –**3**



**Table 1** The  $\delta_{\text{calc}}$ ,  $\delta_{\text{corr}}$  and  $\delta_{\text{exp}}$  of compounds  $^3\text{He@1-3}$ 

Compound	$\delta_{\text{calc}}$ (ppm) <sup>a</sup>	$\delta_{\text{corr}}$ (ppm) <sup>a</sup>	$\delta_{\text{calc}}$ (ppm) <sup>b</sup>	$\delta_{\text{corr}}$ (ppm) <sup>b</sup>	$\delta_{\text{exp}}$ (ppm)
$^3\text{He@1}$	-6.07	-9.24	-5.81	-8.89	-10.10 <sup>c</sup>
$^3\text{He@2}$	-8.35	-12.27	-8.31	-12.22	-11.86 <sup>d</sup>
$^3\text{He@3}$	-8.54	-12.53	-8.82	-12.90	-

<sup>a</sup> Calculated at the GIAO-B3LYP/3-21G//AM1 level

<sup>b</sup> Calculated at the GIAO-B3LYP/3-21G//B3LYP/6-31G\* level

<sup>c</sup> Experimental value from Ref. [21]

<sup>d</sup> Experimental value from Ref. [22]

$^3\text{He@3}$  compared to that of compound  $^3\text{He@2}$ , indicating that our computation method can be applied to open-cage fullerene compounds. Because the structural optimization at the AM1 level is much faster than that at the B3LYP/6-31G\* level, and the optimized structures by both methods have insignificant influence on the  $\delta_{\text{corr}}$  values, the GIAO-B3LYP/3-21G//AM1 method will be employed for the rest calculations of endohedral  $^3\text{He}$  NMR chemical shifts.

### 3.2 Structural assignment of $^3\text{He@C}_{82}$

Nine isomers ( $2 \times C_{3v}$ ,  $C_{2v}$ ,  $3 \times C_2$ ,  $3 \times C_s$ ) obey the isolated pentagon rule (IPR) for  $C_{82}$ . The  $^{13}\text{C}$  NMR spectrum of a  $C_{82}$  isomeric mixture showed that the isomer with  $C_2$  symmetry was the most abundant isomer while the isomers with  $C_{2v}$  and  $C_{3v}$  symmetries were minor, and at least three more isomers with  $C_2$  and/or  $C_s$  symmetry might exist in very little amount [25]. Purified  $C_{82}$  containing only the isomer with  $C_2$  symmetry was later achieved by Dunsch et al. [26, 27]. The major isomer with  $C_2$  symmetry proved to be the isomer  $C_2$   $C_{82}:3$  [28] (Fig. 1) by the  $^{13}\text{C}$  NMR calculation of all nine IPR isomers of the  $C_{82}$  isomers [29].

The  $\delta_{\text{calc}}$  and  $\delta_{\text{corr}}$  data of all nine isomers of  $^3\text{He@C}_{82}$  at the GIAO-B3LYP/3-21G//AM1 level along with the  $\delta_{\text{exp}}$  value of  $^3\text{He@C}_{82}$  are collected in Table 2.

**Table 2** The  $\delta_{\text{calc}}$ ,  $\delta_{\text{corr}}$  and  $\delta_{\text{exp}}$  data of  $^3\text{He@C}_{82}$  isomers

Species	$\delta_{\text{calc}}$ (ppm)	$\delta_{\text{corr}}$ (ppm)	$\delta_{\text{exp}}$ (ppm)
$C_2$ $^3\text{He@C}_{82}:1$	-0.24	-1.49	
$C_s$ $^3\text{He@C}_{82}:2$	-7.22	-10.78	
$C_2$ $^3\text{He } C_{82}:3$	-6.26	-9.50	-10.50 <sup>a</sup>
$C_s$ $^3\text{He } C_{82}:4$	-6.45	-9.74	
$C_2$ $^3\text{He@C}_{82}:5$	-2.39	-4.35	
$C_s$ $^3\text{He@C}_{82}:6$	2.56	2.23	
$C_{3v}$ $^3\text{He@C}_{82}:7$	13.20	16.40	
$C_{3v}$ $^3\text{He@C}_{82}:8$	18.52	23.46	
$C_{2v}$ $^3\text{He@C}_{82}:9$	7.41	8.69	

<sup>a</sup>  $\delta_{\text{exp}}$  from Refs. [2, 3] after rounding off to two decimal

In the  $^3\text{He}$  NMR spectrum reported in 1995, it was suggested that only the peaks at -10.50 and -11.12 ppm could be considered as  $C_{82}$  [2]. On the other hand, while the peak at -10.495 ppm was unassigned, the peak at -11.114 ppm was assigned as one of the  $^3\text{He@C}_{84}$  isomers in the  $^3\text{He}$  NMR spectrum presented in 2000 [3]. Therefore, the peak at -10.50 ppm should be ascribed to  $^3\text{He@C}_{82}$ .

The assignment of the observed peak at -10.50 ppm cannot be made simply by comparison with the calculated corrected  $^3\text{He}$  chemical shifts because some of the  $\delta_{\text{corr}}$  values are very close and the calculation errors cannot be ignored [9, 13]. However, previous work demonstrated that the major  $C_{82}$  isomer was identified as a structure with  $C_2$  symmetry [25–27]. The corrected  $^3\text{He}$  chemical shifts of the three isomers with  $C_2$  symmetry are -1.49, -9.50 and -4.35 ppm for  $C_2$   $^3\text{He@C}_{82}:1$ ,  $C_2$   $^3\text{He@C}_{82}:3$  and  $C_2$   $^3\text{He@C}_{82}:5$ , respectively. The suggested minor isomers with  $C_{2v}$  and  $C_{3v}$  symmetries [25] have  $\delta_{\text{corr}}$  values at 8.69, 16.40 and 23.46 ppm for  $C_{2v}$   $^3\text{He@C}_{82}:9$ ,  $C_{3v}$   $^3\text{He@C}_{82}:7$  and  $C_{3v}$   $^3\text{He@C}_{82}:8$ , respectively. Obviously, the peak at -10.50 ppm should be assigned as the  $C_2$   $^3\text{He@C}_{82}:3$  isomer.

### 3.3 Structural assignments of $^3\text{He@C}_{84}$ isomers

[84]Fullerene ( $C_{84}$ ) is the third most abundant fullerene and has the richest experimentally observed isomers. Of the 24 IPR isomers ( $4 \times D_2$ ,  $5 \times C_2$ ,  $5 \times C_s$ ,  $2 \times D_{2d}$ ,  $4 \times C_{2v}$ ,  $C_1$ ,  $D_{3d}$ ,  $T_d$ ,  $D_{6h}$ ), at least ten isomers with  $D_2(\text{IV})$  [30],  $D_{2d}(\text{II})$  [30],  $D_{6h}$  [31],  $D_{3d}$  [31],  $D_{2d}(\text{I})$  [32],  $D_2(\text{II})$  [32],  $C_2$  [32],  $C_s(\text{a})$  [32],  $C_s(\text{b})$  [32],  $C_2$  [33] symmetries were isolated and characterized. The two major isomers were  $C_{84}:22$  [28] and  $C_{84}:23$  [28] with  $D_2$  and  $D_{2d}$  symmetry, respectively [30]. Among the minor isomers,  $D_{2d}$   $C_{84}:4$  could be unambiguously assigned based on its  $^{13}\text{C}$  NMR spectral data [32]. The previously temporarily assigned isomers  $D_2(\text{II})$ ,  $C_2$ ,  $C_s(\text{a})$  and  $C_s(\text{b})$  were identified as isomers  $C_{84}:5$   $C_{84}:11$ ,  $C_{84}:16$  and  $C_{84}:14$ , respectively [28], by comparison of the experimental and calculated  $^{13}\text{C}$  NMR spectra of  $C_{84}$  isomers [34]. Similarly, the later found minor isomer with  $C_2$  symmetry [33] should be tentatively assigned as the  $C_{84}:2$  isomer by referring to the computation results of Sun and Kertesz [34].

Even though the  $C_s$   $^3\text{He@C}_{84}:10$  isomer could be optimized at the AM1 level, the frequency analysis had a convergence problem. Furthermore, the  $C_{84}:10$  isomer was found to have an imaginary frequency at the PM3 and B3LYP/STO-3G levels [34]. Therefore, the calculation of its endohedral  $^3\text{He}$  NMR chemical shift was not performed. The  $\delta_{\text{calc}}$  and  $\delta_{\text{corr}}$  values of all isomers of  $^3\text{He@C}_{84}$  except for the  $^3\text{He@C}_{84}:10$  isomer at the GIAO-B3LYP/3-21G//AM1 level along with the  $\delta_{\text{exp}}$  data of  $^3\text{He@C}_{84}$  are listed in Table 3.

**Table 3** The  $\delta_{\text{calc}}$ ,  $\delta_{\text{corr}}$  and  $\delta_{\text{exp}}$  data of  $^3\text{He}@C_{84}$  isomers

Species	$\delta_{\text{calc}}$ (ppm)	$\delta_{\text{corr}}$ (ppm)	$\delta_{\text{exp}}$ (ppm)
$D_2$ $^3\text{He}@C_{84}:1$	-12.67	-18.01	
$C_2$ $^3\text{He}@C_{84}:2$	-13.34	-18.90	
$C_s$ $^3\text{He}@C_{84}:3$	1.24	0.49	
$D_{2d}$ $^3\text{He}@C_{84}:4$	-17.68	-24.68	-24.35 <sup>a</sup>
$D_2$ $^3\text{He}@C_{84}:5$	-12.99	-18.45	
$C_{2v}$ $^3\text{He}@C_{84}:6$	-2.88	-5.00	
$C_{2v}$ $^3\text{He}@C_{84}:7$	-0.73	-2.14	
$C_2$ $^3\text{He}@C_{84}:8$	-4.06	-6.56	
$C_2$ $^3\text{He}@C_{84}:9$	4.21	4.43	
$C_2$ $^3\text{He}@C_{84}:11$	-3.71	-6.10	-7.50 <sup>b</sup>
$C_1$ $^3\text{He}@C_{84}:12$	-2.37	-4.32	
$C_2$ $^3\text{He}@C_{84}:13$	5.31	5.89	
$C_s$ $^3\text{He}@C_{84}:14$	-7.46	-11.09	-11.11 <sup>b</sup>
$C_s$ $^3\text{He}@C_{84}:15$	-4.65	-7.35	
$C_s$ $^3\text{He}@C_{84}:16$	-5.65	-8.68	-9.61 <sup>b</sup>
$C_{2v}$ $^3\text{He}@C_{84}:17$	0.58	-0.40	
$C_{2v}$ $^3\text{He}@C_{84}:18$	-6.21	-9.42	-10.01 <sup>b</sup>
$D_{3d}$ $^3\text{He}@C_{84}:19$	-0.41	-1.72	
$T_d$ $^3\text{He}@C_{84}:20$	-8.44	-12.38	
$D_2$ $^3\text{He}@C_{84}:21$	-1.41	-3.04	
$D_2$ $^3\text{He}@C_{84}:22$	-5.14	-8.00	-8.96 <sup>b</sup>
$D_{2d}$ $^3\text{He}@C_{84}:23$	-4.69	-7.40	-8.40 <sup>b</sup>
$D_{6h}$ $^3\text{He}@C_{84}:24$	-8.72	-12.77	-13.05 <sup>b</sup>

<sup>a</sup>  $\delta_{\text{exp}}$  from Ref. [2]

<sup>b</sup>  $\delta_{\text{exp}}$  from Ref. [3] after rounding off to two decimal

The highest peak among the  $C_{84}$  isomers in both of the  $^3\text{He}$  NMR spectra was tentatively assigned to the isomer with  $D_2$  symmetry [2, 3]. Our calculations of all of the four isomers with  $D_2$  symmetry show that only the  $\delta_{\text{corr}}$  (-8.00 ppm) of  $^3\text{He}@C_{84}:22$  [28] is reasonably close to the experimentally observed -8.96 ppm. The assignment of the highest peak at -8.96 ppm as the  $D_2$   $^3\text{He}@C_{84}:22$  isomer is consistent with the previous conclusion that the  $D_2$   $C_{84}:22$  isomer was the most abundant one among the  $C_{84}$  isomers [30, 32].

Previously, the combined fractions for the  $C_{84}$  isomers were separated again by HPLC on a Cosmosil 5PYE column and the HPLC peak was cut into three fractions [35]. Taken the change trends of the relative  $^3\text{He}$  NMR peak strengths in all three fractions into account, it could be concluded that the elution order of the isomers for the corresponding  $^3\text{He}$  NMR peaks on the HPLC column was -13.05, -8.96, -8.40, -9.61, -7.50, -10.01 and -11.11 ppm [35]. Since the encapsulated  $^3\text{He}$  atom is very small and has little interaction with the fullerene cages, it is expected that the  $^3\text{He}$ -labeled fullerenes should have the same elution order as the unlabeled fullerenes. The empty

$C_{84}$  isomers were separated by recycling HPLC technique, and the elution times on a 5PYE column were  $C_{84}:19/ C_{84}:24 < C_{84}:22 < C_{84}:23 < C_{84}:16 < C_{84}:11 < C_{84}:14 < C_{84}:4 < C_{84}:5$  [30–32]. The  $\delta_{\text{corr}}$  data for these identified  $C_{84}$  isomers in the order of the increasing elution time on the 5PYE column are -1.72/-12.77, -8.00, -7.40, -8.68, -6.10, -11.09, -24.68 and -18.45 ppm for  $^3\text{He}@C_{84}:19/^3\text{He}@C_{84}:24$ ,  $^3\text{He}@C_{84}:22$ ,  $^3\text{He}@C_{84}:23$ ,  $^3\text{He}@C_{84}:16$ ,  $^3\text{He}@C_{84}:11$ ,  $^3\text{He}@C_{84}:14$ ,  $^3\text{He}@C_{84}:4$  and  $^3\text{He}@C_{84}:5$ , respectively. The later isolated  $C_{84}$  isomer with  $C_2$  symmetry [33] is now tentatively assigned as  $C_{84}:2$ , and its  $\delta_{\text{corr}}$  is -18.90 ppm. Thus, the  $^3\text{He}$  NMR peaks at -13.05 [36], -8.96, -8.40, -9.61, -7.50 and -11.11 ppm can be safely assigned to  $^3\text{He}@C_{84}:24$ ,  $^3\text{He}@C_{84}:22$ ,  $^3\text{He}@C_{84}:23$ ,  $^3\text{He}@C_{84}:16$ ,  $^3\text{He}@C_{84}:11$  and  $^3\text{He}@C_{84}:14$ , respectively. Note that the peak at -10.01 ppm is excluded as the  $^3\text{He}@C_{84}:14$  isomer because the experimentally observed  $^3\text{He}$  NMR chemical shifts of these isomers are found to be more negative than their  $\delta_{\text{corr}}$  values. The previous calculations at the B3LYP/6-31G\* level of theory indicated that the energies of isomers  $C_{84}:1$ ,  $C_{84}:2$ ,  $C_{84}:3$ ,  $C_{84}:7$ ,  $C_{84}:8$ ,  $C_{84}:9$ ,  $C_{84}:10$ ,  $C_{84}:13$ ,  $C_{84}:17$  and  $C_{84}:20$  were at least 20 kcal/mol above that of the most stable isomers  $C_{84}:22$  and  $C_{84}:23$ , and thus less likely existed in the higher fullerene mixture [34]. Therefore, the peak at -10.01 ppm is most probably due to the as-yet-not-isolated isomer  $C_{84}:18$ , of which the  $\delta_{\text{corr}}$  is -9.42 ppm. This result implies that the next potentially isolated and characterized  $C_{84}$  isomer might be the  $C_{2v}$   $C_{84}:18$  isomer.

Interestingly, a peak at -24.35 ppm was found in the earlier  $^3\text{He}$  NMR spectrum [2]. This peak should be assigned to  $^3\text{He}@C_{84}:4$  because only the computed  $\delta_{\text{corr}}$  (-24.68 ppm) of  $^3\text{He}@C_{84}:4$  is close to the experimental value and the  $C_{84}:4$  isomer has been previously isolated and characterized [32].

#### 3.4 Structural assignments of $^3\text{He}@C_{86}$

There are 19 isomers ( $6 \times C_1$ ,  $6 \times C_2$ ,  $3 \times C_s$ ,  $2 \times C_{2v}$ ,  $C_3$ ,  $D_3$ ) obeying the isolated pentagon rule for  $C_{86}$ . Two isomers with  $C_s$  and  $C_2$  symmetries were separated and characterized by the  $^{13}\text{C}$  NMR spectroscopic measurement [37]. The provisional assignments as  $C_s$   $C_{86}:16$  and  $C_2$   $C_{86}:17$  [37] were confirmed by the  $^{13}\text{C}$  NMR calculations by Sun and Kertesz [38].

The  $\delta_{\text{calc}}$  and  $\delta_{\text{corr}}$  data of all 19 isomers of  $^3\text{He}@C_{86}$  at the GIAO-B3LYP/3-21G//AM1 level along with the  $\delta_{\text{exp}}$  values of  $^3\text{He}@C_{86}$  are collected in Table 4.

Previous B3LYP/6-31G calculations [38] showed that among the 19 isomers  $C_2$   $C_{86}:17$  was the most stable isomer and  $C_s$   $C_{86}:16$  was less stable by only 6.58 kcal/mol. Both of them have a large HOMO–LUMO gap of at least

**Table 4** The  $\delta_{\text{calc}}$ ,  $\delta_{\text{corr}}$  and  $\delta_{\text{exp}}$  data of  ${}^3\text{He}@C_{86}$  isomers

Species	$\delta_{\text{calc}}$ (ppm)	$\delta_{\text{corr}}$ (ppm)	$\delta_{\text{exp}}$ (ppm)
$C_1 {}^3\text{He}@C_{86}:1$	-16.02	-22.47	
$C_2 {}^3\text{He}@C_{86}:2$	-17.97	-25.07	
$C_2 {}^3\text{He}@C_{86}:3$	-14.59	-20.57	
$C_2 {}^3\text{He}@C_{86}:4$	-2.21	-4.11	
$C_1 {}^3\text{He}@C_{86}:5$	-7.49	-11.13	
$C_2 {}^3\text{He}@C_{86}:6$	-8.32	-12.23	
$C_1 {}^3\text{He}@C_{86}:7$	9.56	11.55	
$C_s {}^3\text{He}@C_{86}:8$	9.28	11.17	
$C_{2v} {}^3\text{He}@C_{86}:9$	17.35	21.91	
$C_{2v} {}^3\text{He}@C_{86}:10$	-9.12	-13.29	
$C_1 {}^3\text{He}@C_{86}:11$	-5.14	-8.00	
$C_1 {}^3\text{He}@C_{86}:12$	-5.56	-8.56	
$C_1 {}^3\text{He}@C_{86}:13$	-3.61	-5.97	
$C_2 {}^3\text{He}@C_{86}:14$	-2.04	-3.88	
$C_s {}^3\text{He}@C_{86}:15$	-0.83	-2.28	
$C_s {}^3\text{He}@C_{86}:16$	-9.88	-14.31	-14.15 <sup>a</sup>
$C_2 {}^3\text{He}@C_{86}:17$	-6.43	-9.72	-10.58 <sup>a</sup>
$C_3 {}^3\text{He}@C_{86}:18$	-9.64	-13.99	
$D_3 {}^3\text{He}@C_{86}:19$	-0.14	-1.36	

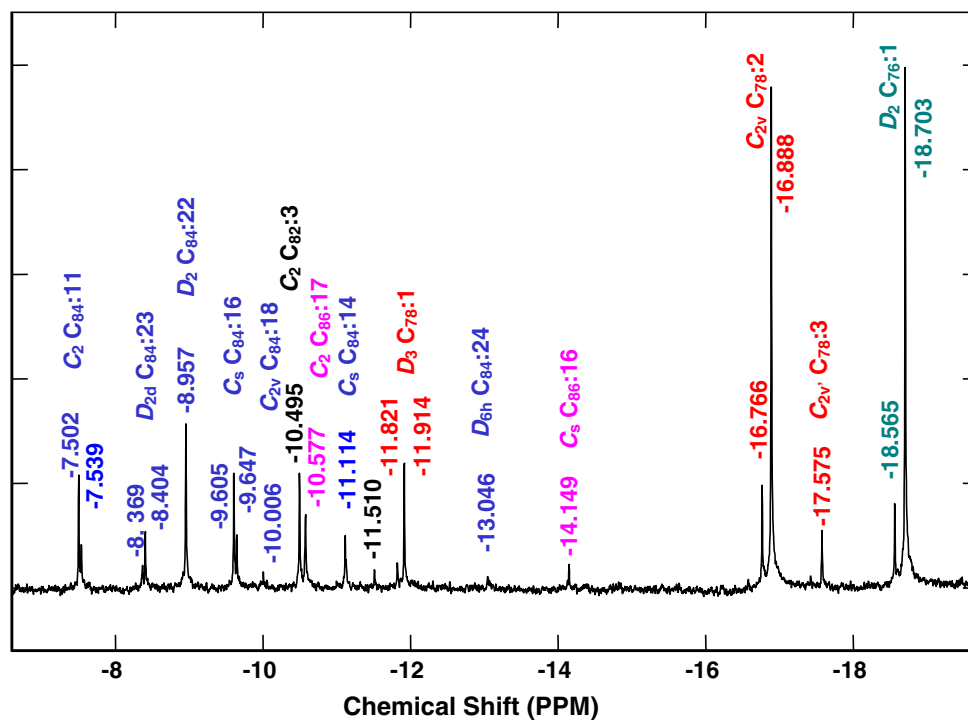
<sup>a</sup>  $\delta_{\text{exp}}$  from Ref. [3] after rounding off to two decimal

1.56 eV. However, other isomers have either too small HOMO–LUMO gap and/or relative energies higher than 20 kcal/mol, explaining well why only the  $C_s$   $C_{86}:16$  and  $C_2$   $C_{86}:17$  isomers were stable and isolated. Thus, isomers except for  $C_s$   ${}^3\text{He}@C_{86}:16$  and  $C_2$   ${}^3\text{He}@C_{86}:17$  should be discarded for the  ${}^3\text{He}$  NMR peak assignment. The peak at

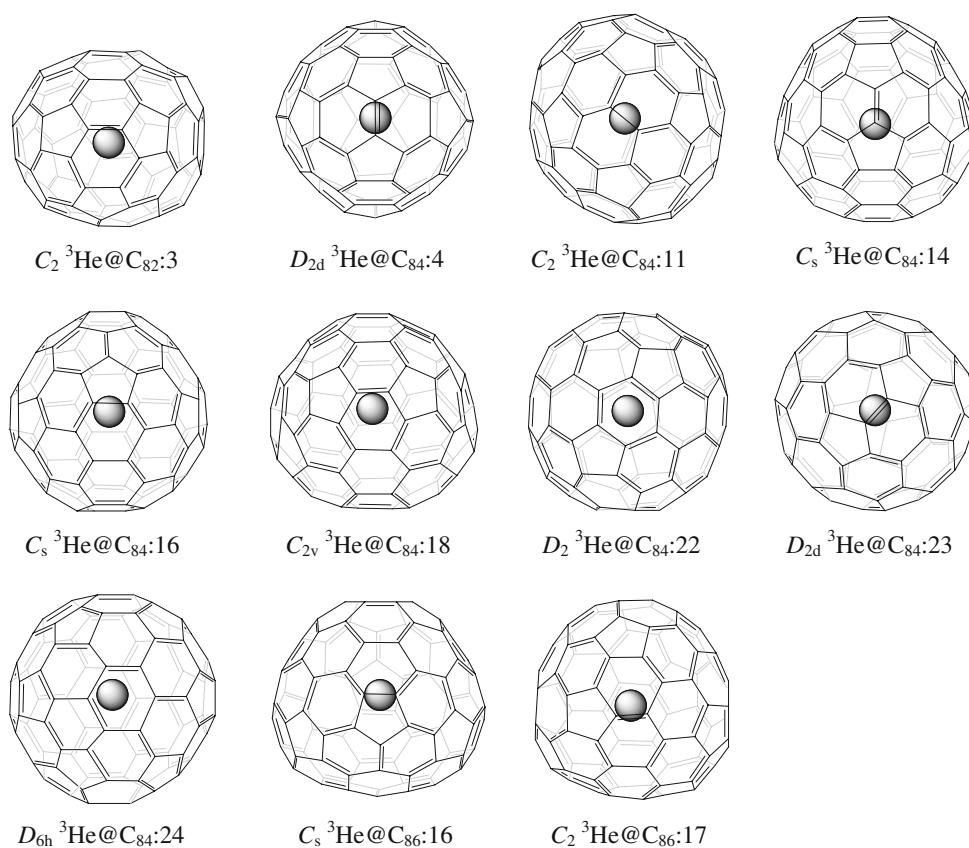
-10.58 ppm labeled as  $C_{86}$  in the  ${}^3\text{He}$  NMR spectrum can be confidently assigned as  $C_2$   ${}^3\text{He}@C_{86}:17$  according to our calculation. Interestingly, the calculated  $\delta_{\text{corr}}$  (-14.31 ppm) of  $C_s$   ${}^3\text{He}@C_{86}:16$  is nearly the same as the experimental value of -14.15 ppm, which was assigned as one of the  $C_{84}$  isomers [3]. The previous assignment was mainly based on the fact that the fraction at 36.6 min on a *PYE* column gave two  ${}^3\text{He}$  NMR peaks at -10.59 and -14.15 ppm, which were determined as isomers of  $C_{86}$  and  $C_{84}$  by its mass spectrum [39]. However, the observed  $C_{84}$  in the mass spectrum could also arise from the fragmentation of  $C_{86}$  by loss of  $C_2$ . Hence, both of the two  ${}^3\text{He}$  NMR peaks possibly came from isomers of  $C_{86}$ . Furthermore, the  $\delta_{\text{corr}}$  values (-24.68 ppm for  $D_{2d}$   ${}^3\text{He}@C_{84}:4$  and -18.45 ppm for  $D_2$   ${}^3\text{He}@C_{84}:5$ ) of the two isomers of  $C_{84}$  that co-eluted with  $C_{86}$  deviate far away from -14.15 ppm. Concluded from the above arguments, the peak at -14.15 ppm should be reassigned as the  $C_s$   ${}^3\text{He}@C_{86}:16$  isomer.

Comparison of the two  ${}^3\text{He}$  NMR spectra showed that five  $C_{84}$  isomers, that is,  $C_{84}:11$ ,  $C_{84}:14$ ,  $C_{84}:16$ ,  $C_{84}:22$  and  $C_{84}:23$ , existed in both cases. One small peak at -24.35 ppm due to  $C_{84}:4$  was observed only in one  ${}^3\text{He}$  NMR spectrum [2], while the two small peaks at -10.01 and -13.05 ppm for  $C_{84}:18$  and  $C_{84}:24$  could only be found in another  ${}^3\text{He}$  NMR spectrum [3]. Thus, we believe that six  $C_{84}$  isomers were found in the spectrum reported in 1995 [2], while seven  $C_{84}$  isomers were observed in the  ${}^3\text{He}$  NMR spectrum published in 2000 [3], not considering the doubly labeling species. The different distribution of

**Fig. 2** The  ${}^3\text{He}$  NMR spectrum of a  ${}^3\text{He}@C_n$  mixture with structural assignments



**Fig. 3** The structures of the assigned  $^3\text{He}@C_{82}$ ,  $^3\text{He}@C_{84}$ ,  $^3\text{He}@C_{86}$  isomers



$C_{84}$  isomers in the two  $^3\text{He}$  NMR spectra was due to the different sample sources, which might be prepared under different conditions.

The  $^3\text{He}$  NMR spectrum published in 2000 with structural assignments for the isomers of  $C_{82}$ ,  $C_{84}$ ,  $C_{86}$  as well as  $C_{76}$  and  $C_{78}$  [40] is shown in Fig. 2. The corresponding structures for the assigned higher fullerenes  $^3\text{He}@C_n$  ( $n = 82, 84, 86$ ) are given in Fig. 3.

#### 4 Conclusion

The endohedral  $^3\text{He}$  NMR chemical shifts of open-cage fullerene compounds and higher fullerenes  $^3\text{He}@C_n$  ( $n = 82, 84, 86$ ) have been calculated at the GIAO-B3LYP/3-21G//AM1 level. Our developed method can be successfully applied to the calculation and prediction of endohedral  $^3\text{He}$  chemical shifts for open-cage fullerene derivatives. More importantly, the combination of our GIAO-B3LYP/3-21G//AM1 method with the previous experimental results allows us to tackle the challenging  $^3\text{He}$  NMR peak assignments of  $^3\text{He}@C_{82}$ ,  $^3\text{He}@C_{84}$  and  $^3\text{He}@C_{86}$  in the two reported  $^3\text{He}$  NMR spectra. It is expected that the calculated  $^3\text{He}$  NMR chemical shifts will continue to play an important role in the structural assignments of fullerene compounds.

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35. See Ref. [10] in Ref. [2] of this paper, the details were not reported at that time
36. In the Ref. [3], the peak at  $-13.05$  ppm was tentatively assigned to  $C_{82}$  because it was present only in the first fraction of the cut three fractions and  $C_{82}$  was known to be eluted earlier than the main isomers of  $C_{84}$ . However, the minor isomers  $C_{84:19}$  and  $C_{84:24}$  were also eluted before the main isomers  $C_{84:22}$  and  $C_{84:23}$  [31]. The calculated  $\delta_{\text{corr}}$  ( $-12.77$  ppm) of  ${}^3\text{He}@C_{84:24}$  nicely matches the observed value. In contrast, the  $\delta_{\text{corr}}$  ( $-9.50$  ppm) of  ${}^3\text{He}@C_{82:3}$  (the most stable isomer of  ${}^3\text{He}@C_{82}$ ) is far away from  $-13.05$  ppm. The above statements lead us to reassign the peak at  $-13.05$  ppm as  ${}^3\text{He}@C_{84:24}$
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39. See Ref. [9] in Ref. [3] of this paper
40. Our previous work [15] showed that the peak at  $-11.91/-11.92$  ppm was assigned as the  $D_3$   ${}^3\text{He}@C_{78:1}$ . Even though the previous calculation results by us [15] and Chen et al. [13] supported the original assignment of peak at  $-16.77$  ppm as  $C_{2v}$   ${}^3\text{He}@C_{78:3}$  [2], this peak was reassigned as the doubly labeled  $C_{2v}$   ${}^3\text{He}_2@C_{78:2}$  [3]. The peak located at  $-17.58$  ppm should be assigned to  $C_{2v}$   ${}^3\text{He}@C_{78:3}$ , in accord with the result reported by Sternfeld et al. [41]
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